

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/784,563 | 02/15/2001 | Chester Li | 5026 |

CONFIRMATION NO. 1809

FORMALITIES LETTER



OC000000005925133

Steven R. Lazar
GENZYME CORPORATION
LEGAL DEPARTMENT
One Kendall Square
Cambridge, MA 02139

Date Mailed: 04/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:
Nelson Yew
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

[Signature]
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/25/2001 EEKUBAY1 00000045 071074 09784563

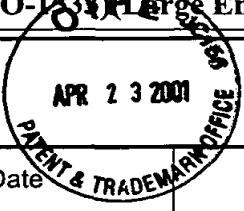
01 FC:105 130.00 CH

Sector #43

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) Large Entity

Docket No.
5026

In Re Application Of: Chester Li et al.



Serial No.
09/784,563

Filing Date
February 15, 2001

Examiner
Not yet assigned

Group Art Unit
Not yet assigned

Invention: GENETIC MODIFICATION OF THE LUNG AS A PORTAL FOR GENE DELIVERY

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
April 2, 2001
Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- Other (list):

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.

In Re Application Of: Chester Li et al.

| Serial No. | Filing Date | Examiner | Group Art Unit |
|------------|-------------------|------------------|------------------|
| 09/784,563 | February 15, 2001 | Not yet assigned | Not yet assigned |

INVENTION: GENETIC MODIFICATION OF THE LUNG AS A PORTAL FOR GENE DELIVERY

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

Completion of application fees as calculated below:

Utility application filing fee

Design application filing fee

Total number of independent claims =

Total number of claims =

Multiple dependent claims

Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

Petition and fee for filing by other than all the inventors or a person not the inventor

Fee for processing an application filed with a non-English language specification

Fee for processing and retention of application

Total completion of application fees \$130.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month Two months Three months Four months Five months

from: _____
Date

until: _____ *Date*

Total time extension fees

Total fees due \$130.00

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.
5026

In Re Application Of: Chester Li et al.

Serial No.
09/784,563

Filing Date
February 15, 2001

Examiner
Not yet assigned

Group Art Unit
Not yet assigned

Invention: GENETIC MODIFICATION OF THE LUNG AS A PORTAL FOR GENE DELIVERY

TO THE ASSISTANT COMMISSIONER FOR PATENTS:
Box Missing Parts

The fee of \$130.00 is to be paid as follows:

A check in the amount of the fee is enclosed.

The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 07-1074

A duplicate copy of this sheet is enclosed.

If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 07-1074

A duplicate copy of this sheet is enclosed.



Signature

Dated: April 16, 2001

Steven R. Lazar, Esq.
Reg. No. 32,618
GENZYME CORPORATION
One Kendall Square
Cambridge, MA 02139
Tel. (617) 591-7146
Fax. (617) 252-7977

I certify that this document and fee is being deposited on April 16, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

Steven R. Lazar
Typed or Printed Name of Person Mailing Correspondence

CC: